

Appointed Representative Registration Form

Are there any conflicts of interest between your brokerage and HTB?			No	Yes	
Do you have a policy in place to support Vulnerable Customers?			No	Yes	
Appointed representative details					
BDM:					
Company number (if applicable):		ICO number:			
Firm name:			Firm FCA number:		
Director / Principal of firm:					
Email address for Director/ Principal:					
Contact number for firm:					
Head office/ registered address:					
Address to send documentation to (if different):					
Network name:			Network FCA number:		
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Please detail below all individuals to	be registered with	Hampshire Trust Bo			
	be registered with Email:	Hampshire Trust Bo			
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By signing this you as the Principal are deeming all the above advisers competent.

Hampshire Trust Bank Plc is authorised by the Prudential Regulation Authority and regulated by the Prudential Regulation Authority and the Financial Conduct Authority. Our FRN number is 204601. We are a company registered in England and Wales, registration number 01311315. Registered office: 80 Fenchurch Street, London EC3M 4BY. Hampshire Trust Bank, HTB and 🏠 are registered trademarks of Hampshire Trust Bank Plc.



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Intermediary firm declaration

The applicant firm confirms it has:

- 1. Robust governance arrangements, including a clear organisational structure and apportionment of responsibilities
- 2. Systems controls, which are sufficiently robust to meet any regulatory requirements that apply to the firm
- 3. Satisfied itself that individuals and advisers engaged on its behalf hold the relevant skills, knowledge, expertise and qualifications to discharge their responsibilities competently.
- 4. Robust systems and controls in place to ensure that regulated mortgage contracts are advised/arranged only where the firm holds the relevant regulatory permissions and by a competent mortgage advisor.
- 5. Effective processes to identify, manage, monitor and report risks to which it is exposed.
- 6. Sound administrative and accounting procedures
- 7. Effective control and safeguard arrangements for information processing systems
- 8. And will maintain appropriate professional indemnity insurance cover in respect of its mortgage broking activities.

We confirm the information provided in this registration form is accurate and complete. Further, that for the purpose of our business relationship, Hampshire Trust Bank is entitled to rely upon the content of this declaration. Hampshire Trust Bank will be notified without delay if there is a material change to the information Included in this form and/or declaration.

Applicant firm

The applicant firm confirms they have reviewed, accepts and will act in accordance with the Terms of Business as signed by their appointed Network.

Director/Principal's signature on behalf of applicant firm	Director/Principal's name (in BLOCK CAPITALS)	Date of signature

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