

Appointed Representative Registration Form

Intermediary firm declaration

The applicant firm confirms it has:

1. Robust governance arrangements, including a clear organisational structure and apportionment of responsibilities
2. Systems controls, which are sufficiently robust to meet any regulatory requirements that apply to the firm
3. Satisfied itself that individuals and advisers engaged on its behalf hold the relevant skills, knowledge, expertise and qualifications to discharge their responsibilities competently.
4. Robust systems and controls in place to ensure that regulated mortgage contracts are advised/arranged only where the firm holds the relevant regulatory permissions and by a competent mortgage advisor.
5. Effective processes to identify, manage, monitor and report risks to which it is exposed.
6. Sound administrative and accounting procedures
7. Effective control and safeguard arrangements for information processing systems
8. And will maintain appropriate professional indemnity insurance cover in respect of its mortgage broking activities.

We confirm the information provided in this registration form is accurate and complete. Further, that for the purpose of our business relationship, Hampshire Trust Bank is entitled to rely upon the content of this declaration. Hampshire Trust Bank will be notified without delay if there is a material change to the information Included in this form and/or declaration.

Applicant firm

The applicant firm confirms they have reviewed, accepts and will act in accordance with the Terms of Business as signed by their appointed Network.

**Director/Principal's signature
on behalf of applicant firm**

**Director/Principal's name
(in BLOCK CAPITALS)**

Date of signature

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